Stark
Financial Advisers, Inc.

Stark Financial Advisers, Inc. – Privacy Policy

Protecting Your Privacy

At Stark Financial Advisers, Inc. ("SFA"), protecting your privacy is a top priority. We appreciate the trust you place in us, and we are committed to safeguarding the confidentiality of your personal information. This notice explains the types of information we collect, how we use and protect it, and the limited circumstances in which we may share it. Our Privacy Policy applies to all current and former clients.

Why We Collect Information

We collect information to:

- Maintain accurate records and accounts,
- Process transactions and provide financial services,
- Fulfill regulatory requirements, and
- Deliver personalized advice in line with your financial goals.

What Information We Collect

Most of the information we gather comes directly from you. This may include:

- Applications and forms – name, address, Social Security number, date of birth, assets, income, and financial history.
- Account activity – trading history, balances, and other account details.
- Verification sources – consumer reporting agencies or information services used to confirm identity and creditworthiness.
- Other professionals (with your consent) – such as your attorney, accountant, or insurance professional.

How We Protect Your Information

We maintain strict safeguards to protect your personal information, including:

- Physical, electronic, and procedural protections that comply with federal and state laws.
- Limiting access to only those employees or affiliated persons who need information to service your account.
- Disciplinary measures for employees who violate our privacy policies, up to and including termination.

When We Share Information

We do not sell or share your non-public personal information with outside parties for marketing purposes. We may share information only in the following situations:

- With companies that help us provide financial services to you (such as broker/dealers, custodians, mutual fund companies, or insurance carriers).
- As required by law (for example, to regulators, consumer reporting agencies, or in response to subpoenas).

In all cases, we stress the confidential nature of the information being shared.

Former Clients

If you are no longer a client, our Privacy Policy continues to apply. We will maintain and protect your information with the same level of care.

Your Rights

Certain states require your consent before we share information with non-affiliated third parties. If applicable, you may choose to opt out of such sharing. Should we ever change our Privacy Policy to allow disclosures not permitted by law, we will notify you and give you the opportunity to opt out before any such change takes effect.

Consent to Share Information with Non-Affiliated Third Parties (if applicable by state law):

- ☐ Yes, I consent
- ☐ No, I do not consent

Printed Name: _______________________ Signature: _______________________

Date: ____________________

Questions or Concerns

If you have any questions about this Privacy Policy or how your information is handled, please contact our Compliance Department at:

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Email: eadler@starkadvisers.com